



Stabilization of Galerkin Reduced Order Models (ROMs) for LTI Systems Using Controllers

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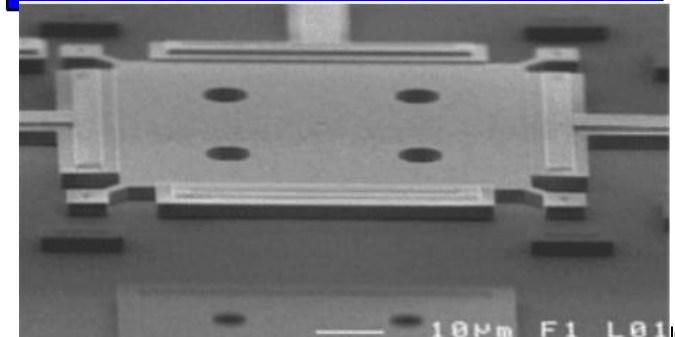
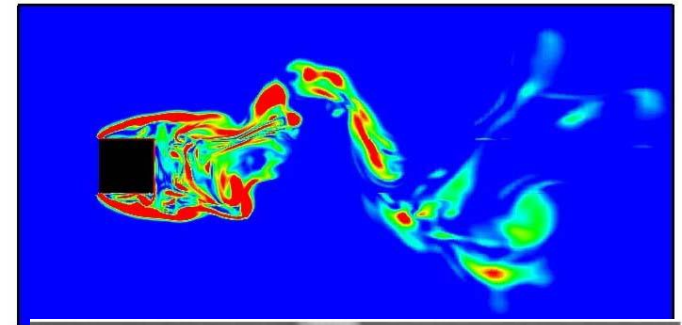
Why Develop a Reduced Order Model (ROM)?

High-fidelity modeling is often too expensive for use in a design or analysis setting!

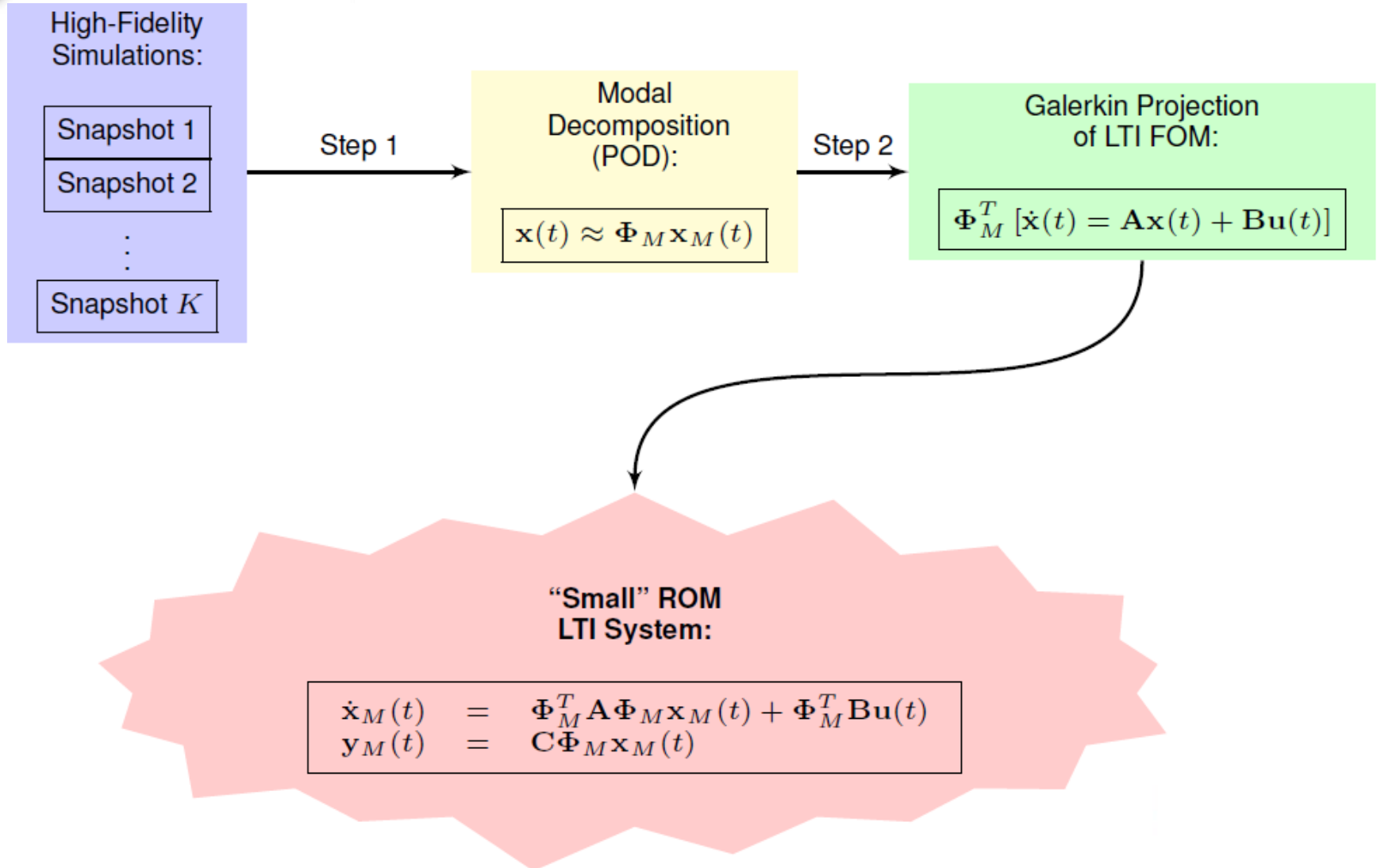
A **Reduced Order Model (ROM)** is a surrogate model that aims to capture the essential dynamics of a full numerical model but with far fewer dofs.

Example Applications of ROMs:

- Aeroelastic flutter analysis.
- System modeling for active flow control.
- Design/analysis of microelectromechanical devices and systems (MEMS) under electric actuation.



Proper Orthogonal Decomposition (POD)/Galerkin Method to Model Reduction





Step 1: Proper Orthogonal Decomposition (POD) Algorithm (a.k.a., “Method of Snapshots”)

1. Collect K snapshots of a solution vector $\{\mathbf{x}^k: k = 1, \dots, K\} \in \mathbb{R}^N$. Place these snapshots into the columns of a matrix $\mathbf{X}$ defined by

$$\mathbf{X} = (\mathbf{x}^1, \dots, \mathbf{x}^K) \in \mathbb{R}^{N \times K}$$

2. Select an inner product to build the reduced basis in, e.g., the L^2 inner product.
3. Compute the SVD of the matrix

$$\mathbf{Y} \equiv \frac{1}{K} \mathbf{X}^T \mathbf{X} = \mathbf{U} \mathbf{\Sigma} \mathbf{U}^T$$

4. A reduced POD basis of size $M \ll N$ is given by

$$\mathbf{\Phi}_M = (\boldsymbol{\phi}_1, \dots, \boldsymbol{\phi}_M) = \mathbf{X} \mathbf{U}(:, 1:M)$$

5. Orthonormalize and return the reduced basis.

N = # of dofs in high-fidelity simulation
K = # of snapshots
M = # of dofs in ROM
$(M \ll N, M \ll K)$

Truncated POD basis $\{\boldsymbol{\phi}_k: k = 1, \dots, M\}$ describes more energy (on average) of the snapshot set than any other linear basis of the same dimension M .

Step 2: Galerkin Projection

LTI Full Order Model (FOM)

$$\begin{aligned}\dot{\mathbf{x}}(t) &= \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t) \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t)\end{aligned}$$

$\sim O(N)$ dofs

1. Approximate solution as a linear combination of the POD modes obtained in Step 1:

$$\mathbf{x}(t) \approx \sum_{i=1}^M x_{M,i}(t) \boldsymbol{\phi}_i = \boldsymbol{\Phi}_M \mathbf{x}_M(t)$$

ROM solution $\swarrow$

2. Project FOM system onto the modes $\boldsymbol{\phi}_i$ in some inner product, e.g., the L^2 inner product to obtain...

LTI Reduced Order Model (ROM)

$$\begin{aligned}\dot{\mathbf{x}}_M(t) &= \boldsymbol{\Phi}_M^T \mathbf{A} \boldsymbol{\Phi}_M \mathbf{x}_M(t) + \boldsymbol{\Phi}_M^T \mathbf{B} \mathbf{u}(t) \\ \mathbf{y}_M(t) &= \mathbf{C} \boldsymbol{\Phi}_M \mathbf{x}_M(t)\end{aligned}$$

$\sim O(M)$ dofs



Stability Issues of POD/Galerkin ROMs

LTI Full Order Model (FOM)

$$\begin{aligned}\dot{\mathbf{x}}(t) &= \mathbf{A}\mathbf{x}(t) + \mathbf{B}\mathbf{u}(t) \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t)\end{aligned}$$

LTI Reduced Order Model (ROM)

$$\begin{aligned}\dot{\mathbf{x}}_M(t) &= \mathbf{A}_M\mathbf{x}_M(t) + \mathbf{B}_M\mathbf{u}(t) \\ \mathbf{y}_M(t) &= \mathbf{C}_M\mathbf{x}_M(t)\end{aligned}$$

- ROM LTI system matrices given by:

$$\mathbf{A}_M = \Phi_M^T \mathbf{A} \Phi_M, \quad \mathbf{B}_M = \Phi_M^T \mathbf{B}, \quad \mathbf{C}_M = \mathbf{C} \Phi_M$$

Problem: $\mathbf{A}$ stable $\nRightarrow \mathbf{A}_M$ stable!

- There is no *a priori* stability guarantee for POD/Galerkin ROMs.
- Stability of a ROM is commonly evaluated *a posteriori* – **RISKY!**
- ROM instability of POD/Galerkin ROMs is a **real** problem in some applications (e.g., compressible flow).



Stability Preserving Model Reduction Approaches: Literature Review

Approaches for building stability-preserving POD/Galerkin ROMs found in the literature fall into **two categories**:

1. ROMs which derive ***a priori*** a stability-preserving model reduction framework (usually specific to an equation set).

Potentially
intrusive
implemetation

- ROMs based on projection in special ‘energy-based’ (not L^2) inner products, e.g., Rowley *et al.* (2004), Barone & Kalashnikova *et al.* (2009), Serre *et al.* (2012).

2. ROMs which stabilize an unstable ROM through an ***a posteriori*** post-processing stabilization step applied to the algebraic ROM system.

Inconsistencies
between ROM
and FOM physics

- Petrov-Galerkin ROMs that solve an optimization problem for the test basis given a trial POD basis, e.g., Amsallem *et al.* (2012), Bond *et al.* (2008).
- ROMs with increased numerical stability due to inclusion of ‘stabilizing’ terms (e.g., LES terms) in the ROM equations, e.g., Wang, Akhtar, Borggaard, Iliescu (2012)

New ROM Stabilization Approach: ROM Stabilization via Pole Placement

New ROM Stabilization Idea

(falling under second category of ROM stabilization methods):

Make ROM system matrix A_M stable through **pole (eigenvalue) placement**

LTI FOM: A stable

$$\dot{x}(t) = Ax(t) + Bu(t)$$

$$y(t) = Cx(t)$$

LTI ROM: A_M unstable

$$\dot{x}_M(t) = A_M x_M(t) + B_M u(t)$$

$$y_M(t) = C_M x_M(t)$$

- Pick a control matrix B_C .
- Modify LTI ROM by adding to it the control $B_C u_C(t)$:

$$\dot{x}_M(t) = A_M x_M(t) + B_M u(t) + B_C u_C(t)$$

$$y_M(t) = C_M x_M(t)$$

- Assume linear control of the form $u_C(t) = -K_C x_M(t)$:

$$\dot{x}_M(t) = (A_M - B_C K_C) x_M(t) + B_M u(t)$$

$$y_M(t) = C_M x_M(t)$$

- Compute the feedback matrix K_C such that

$$\tilde{A}_M \equiv A_M - B_C K_C \text{ has desired (stable) poles.}$$

Open Questions:

- How to pick B_C ?
- What eigenvalues should $\tilde{A}_M$ have?
- Does solution K_C to pole placement problem exist?
- How does stabilization affect ROM accuracy?

Algorithm #1: ROM Stabilization via Pole Placement

- Ensures the solution $\mathbf{K}_C$ to the pole placement problem exists.

Theorem (from Aström et al. 2009): *If the pair $(\mathbf{A}_M, \mathbf{B}_C)$ is controllable, there exists a feedback $\mathbf{u}_c(t) = -\mathbf{K}_C \mathbf{x}_M(t)$ such that the eigenvalues of $\tilde{\mathbf{A}}_M \equiv \mathbf{A}_M - \mathbf{B}_C \mathbf{K}_C$ can be arbitrarily assigned.*

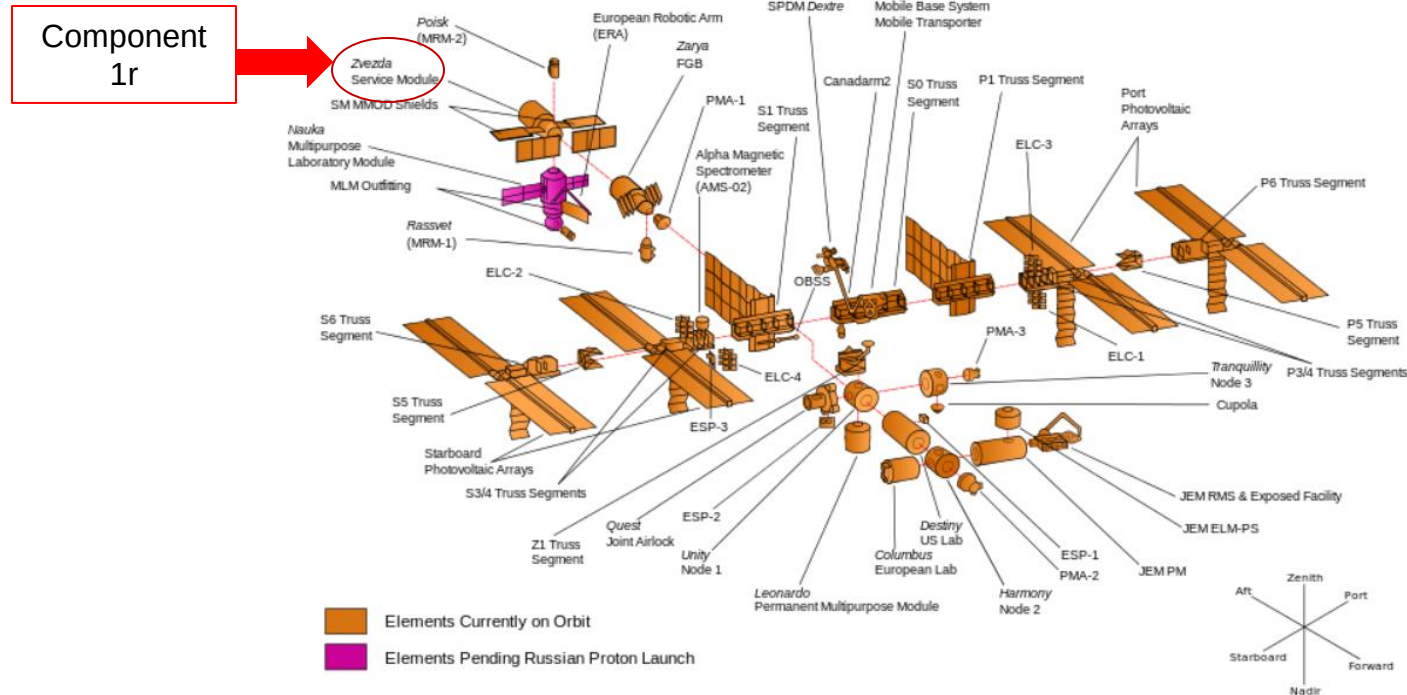
Algorithm #1 Outline

- Given $\mathbf{B}_C$, use Kalman decomposition to isolate controllable and observable part of $\mathbf{A}_M$ and $\mathbf{B}_C$, call them $\mathbf{A}_M^{co} = \mathbf{U} \mathbf{A}_M \mathbf{U}^T$, $\mathbf{B}_C^{co} = \mathbf{U} \mathbf{B}_C$.
- Compute eigenvalues $\lambda_1, \lambda_2, \dots, \lambda_N^{co}$ of $\mathbf{A}_M^{co}$.
- Reassign unstable eigenvalues of $\mathbf{A}_M^{co}$, e.g., set
$$\lambda_i = \min\{Re(\lambda_i), -Re(\lambda_i)\} + i \cdot Im(\lambda_i)$$
- Compute $\mathbf{K}_C$ such that $\mathbf{A}_M^{co} - \mathbf{K}_C \mathbf{B}_C^{co}$ has these eigenvalues using pole placement algorithms from control theory.
- Set $\mathbf{A}_M = \mathbf{U}^T (\mathbf{A}_M^{co} - \mathbf{K}_C \mathbf{B}_C^{co}) \mathbf{U}$.

Numerical Results: International Space Station (ISS) Benchmark Stabilized via Algorithm #1

ISS Configuration

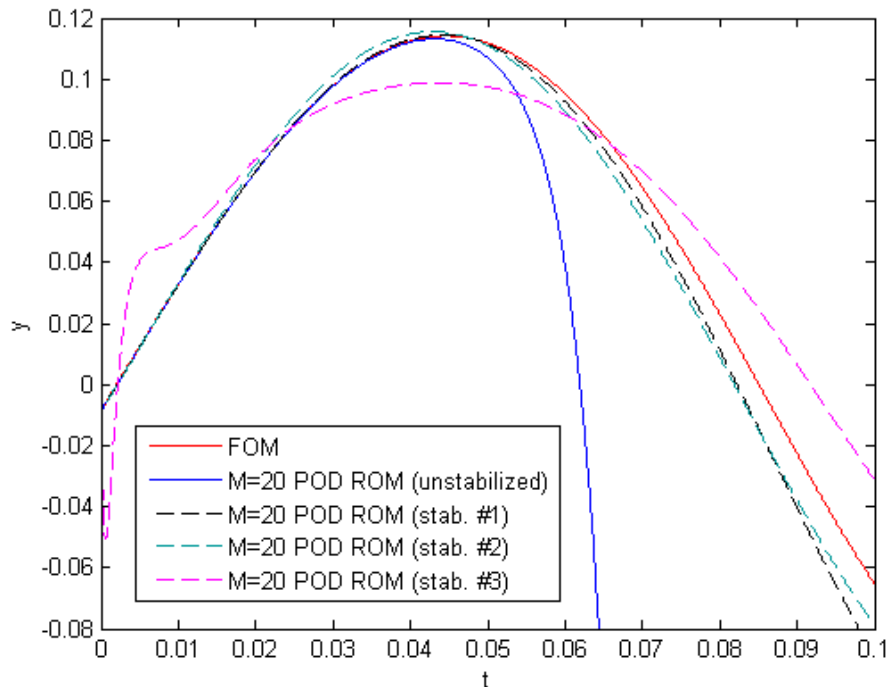
As of May 2011 (ULF6 - STS-134)



- FOM: structural model of component 1r (Russian service module) of the International Space Station (ISS).
- A , C matrices defining FOM downloaded from NICONET model reduction benchmark repository.
- No inputs (unforced), 1 output; FOM is stable

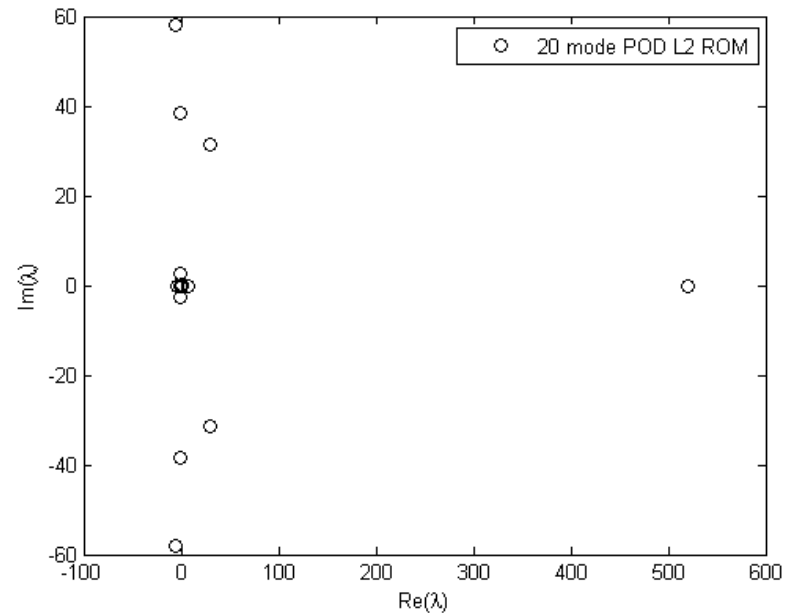
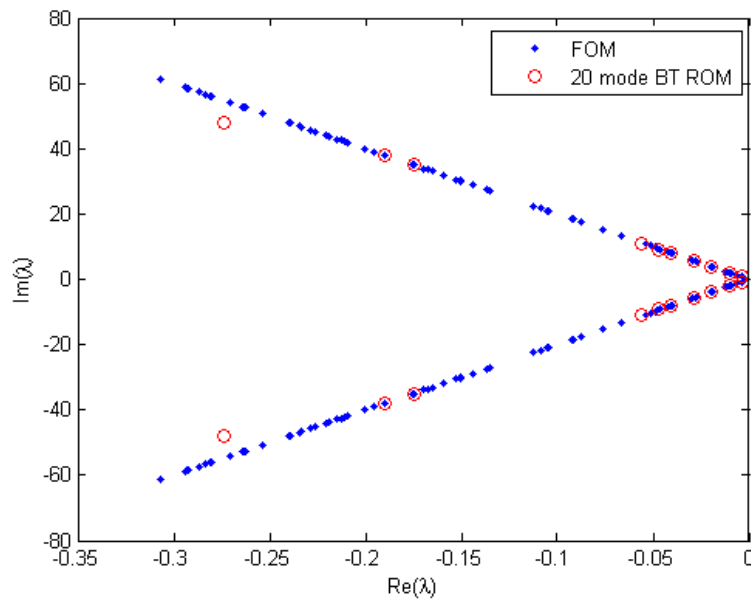
Numerical Results: ISS Benchmark Stabilized via Algorithm #1 (continued)

- $M = 20$ POD/Galerkin ROM constructed using $K = 2000$ snapshots collected until time $t = 0.1$, with $\mathbf{x}(0) = \mathbf{1}_N$.
- $M = 20$ POD/Galerkin ROM has 4 unstable eigenvalues.
- $\mathbf{B}_C = \mathbf{1}_M$ (stabilization matrix selected to be vector of all 1s).



ROM	$\frac{\sqrt{\sum_{k=1}^K \ \mathbf{y}^k - \mathbf{y}_M^k\ _2^2}}{\sqrt{\sum_{k=1}^K \ \mathbf{y}_k\ _2^2}}$
Unstabilized POD	1737.8
Stabilized POD #1 $Re(\lambda_i^u) \leftarrow -0.1 Re(\lambda_i^u)$	0.1151
Stabilized POD #2 $Re(\lambda_i^u) \leftarrow -Re(\lambda_i^u)$	0.1116
Stabilized POD #3 $Re(\lambda_i^u) \leftarrow -10 Re(\lambda_i^u)$	0.2267

Selecting ROM Eigenvalues (Poles)



- If ROM is stable, ROM eigenvalues will lie on same manifold as FOM eigenvalues.
- In fact, if $M = N$ and ROM is stable, $\mathbf{A}_M \sim \mathbf{A}$, so $\text{eig}(\mathbf{A}_M) = \text{eig}(\mathbf{A})$.
- **However:** if ROM is unstable, ROM eigenvalues can be chaotic.

Could try to place ROM poles to match FOM poles...
...**but** this does not ensure **accuracy** of ROM!



Algorithm #2: ROM Stabilization Optimization Problem

- Ensures stabilized ROM solution deviates minimally from FOM solution.

Key Idea: An exact solution to the ROM LTI system can be derived using the matrix exponential.

- Recall that the ROM LTI system is given by:

$$\begin{aligned}\dot{\mathbf{x}}_M(t) &= \mathbf{A}_M \mathbf{x}_M(t) + \mathbf{B}_M \mathbf{u}(t) \\ \mathbf{y}_M(t) &= \mathbf{C}_M \mathbf{x}_M(t)\end{aligned}$$

- The solution to the ROM LTI system is:

$$\mathbf{x}_M(t) = \exp(t\mathbf{A}_M) \mathbf{x}_M(0) + \int_0^t \exp\{(t - \tau) \mathbf{A}_M\} \mathbf{B}_M \mathbf{u}(\tau) d\tau$$

$$\Rightarrow \mathbf{y}_M(t) = \mathbf{C}_M \left[\exp(t\mathbf{A}_M) \mathbf{x}_M(0) + \int_0^t \exp\{(t - \tau) \mathbf{A}_M\} \mathbf{B}_M \mathbf{u}(\tau) d\tau \right]$$

Algorithm #2: ROM Stabilization Optimization Problem (continued)

ROM Stabilization Optimization Problem (Constrained Nonlinear Least Squares):

$$\begin{aligned} \min_{\lambda_i^u} & \sum_{k=1}^K \|\mathbf{y}^k - \mathbf{y}_M^k\|_2^2 \\ \text{s. t. } & \text{Re}(\lambda_i^u) < 0 \end{aligned}$$

- λ_i^u = unstable eigenvalues of original ROM matrix $\mathbf{A}_M$.
- $\mathbf{y}^k = \mathbf{y}(t_k)$ = snapshot output at t_k
- $\mathbf{y}_M^k = \mathbf{C}_M \left[\exp(t_k \mathbf{A}_M) \mathbf{x}_M(0) + \int_0^{t_k} \exp\{(t_k - \tau) \mathbf{A}_M\} \mathbf{B}_M u(\tau) d\tau \right]$ = ROM output at t_k .
- ROM stabilization optimization problem is small: $< O(M)$.
- ROM stabilization optimization problem can be solved by standard optimization algorithms, e.g., interior point method.
 - We use `fmincon` function in MATLAB's optimization toolbox.
 - We implement ROM stabilization optimization problem in **characteristic variables** $\mathbf{z}_M(t) = \mathbf{S}_M^{-1} \mathbf{x}_M(t)$ where $\mathbf{A}_M = \mathbf{S}_M \mathbf{D}_M \mathbf{S}_M^{-1}$.

Algorithm #2 Is Equivalent to Specific Instance of Algorithm #1 (Pole Placement Problem)

- Let $\tilde{\mathbf{A}}_M$ be the new ROM matrix following stabilization by Algorithm #2:
 $\mathbf{A}_M \leftarrow \tilde{\mathbf{A}}_M = \mathbf{S}_M \tilde{\mathbf{D}}_M \mathbf{S}_M^{-1}$, $\tilde{\mathbf{D}}_M = \mathbf{D}_M$ stabilized by Algorithm #2

Can show: Algorithm #2 is equivalent to Algorithm #1 (Pole Placement Problem) for a specific $\mathbf{B}_C$ and $\mathbf{K}_C$.

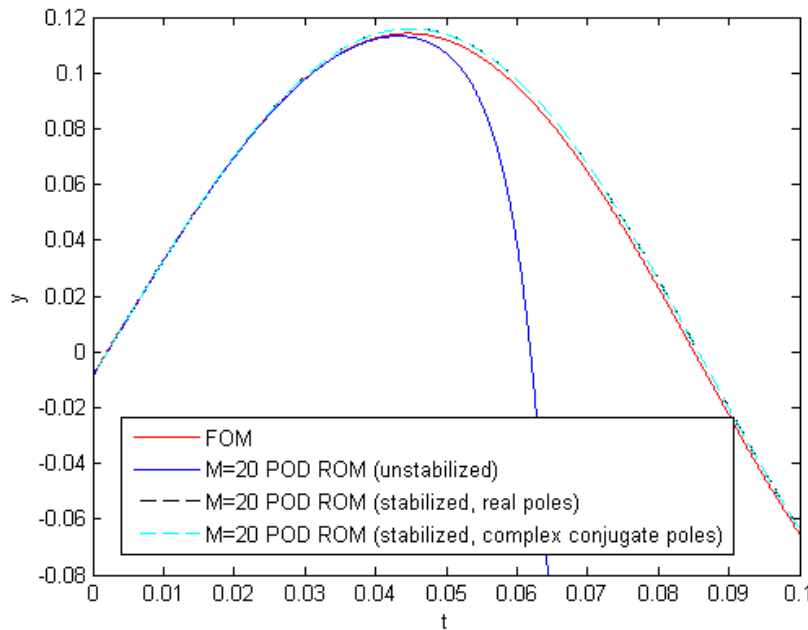
- One can show that $\tilde{\mathbf{A}}_M = \mathbf{A}_M - \mathbf{B}_C \mathbf{K}_C$ where (WLOG, if 2 eigenvalues were stabilized):

$$\mathbf{B}_C = \mathbf{S}_M(:, 1:2)$$
$$\mathbf{K}_C = \begin{pmatrix} \lambda_1 - \tilde{\lambda}_1 & 0 & 0 \\ 0 & \lambda_2 - \tilde{\lambda}_2 & 0 \end{pmatrix}$$

- Here:
 - λ_i denotes the original (unstable) eigenvalue of $\mathbf{A}_M$
 - $\tilde{\lambda}_i$ denotes the new (stable) eigenvalue of $\tilde{\mathbf{A}}_M$ computed by Algorithm #2.
 - $\mathbf{S}_M$ denotes matrix of eigenvectors of $\mathbf{A}_M$.

Numerical Results: ISS Benchmark Stabilized via Algorithm #2

- $M = 20$ POD/Galerkin ROM has 4 unstable eigenvalues: 2 real, 2 complex
 - Two options for ROM stabilization optimization problem:
 - Option 1:** Solve for $\lambda_1, \lambda_2, \lambda_3, \lambda_4 \in \mathbb{R}$ s.t. the constraint $\lambda_1, \lambda_2, \lambda_3, \lambda_4 < 0$.
 - Option 2:** Solve for $\lambda_1 + \lambda_2 i, \lambda_1 - \lambda_2 i, \lambda_3, \lambda_4$ s.t. the constraint $\lambda_1, \lambda_3, \lambda_4 < 0$
- Initial guess for fmincon interior point method: $\lambda_1 = \lambda_2 = \lambda_3 = \lambda = -1$.



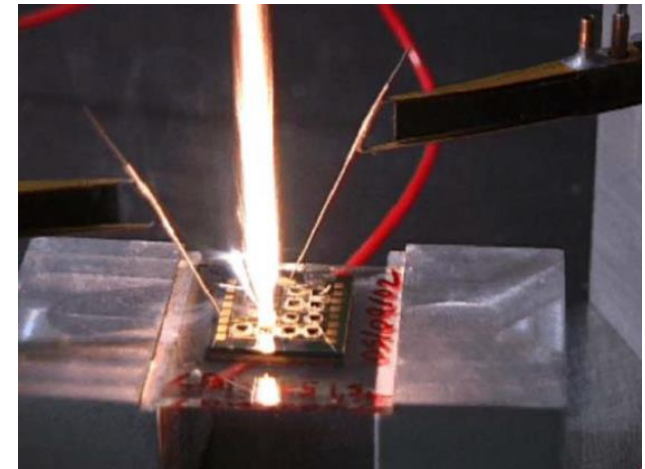
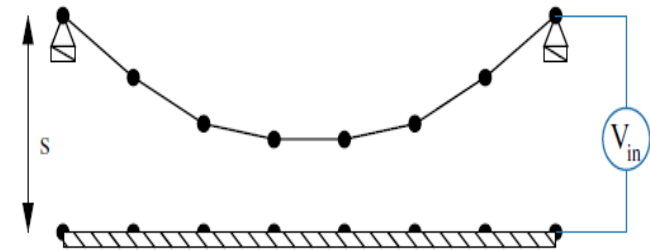
ROM	$\frac{\sqrt{\sum_{k=1}^K \ \mathbf{y}^k - \mathbf{y}_M^k\ _2^2}}{\sqrt{\sum_{k=1}^K \ \mathbf{y}_k\ _2^2}}$
Unstabilized POD	1737.8
Optimization Stabilized POD (Real Poles)	0.0259
Optimization Stabilized POD (Complex-Conjugate Poles)	0.0252

Numerical Results: Electrostatically Actuated Beam Benchmark Stabilized via Algorithm #2

- FOM = 1D model of electrostatically actuated beam.
- Application of model: microelectromechanical systems (MEMS) devices such as electromechanical radio frequency (RF) filters.
- 1 input corresponding to periodic on/off switching, 1 output, initial condition $x(0) = \mathbf{0}_N$.
- Second order linear semi-discrete system of the form:

$$\begin{aligned} \mathbf{M}\ddot{\mathbf{x}}(t) + \mathbf{E}\dot{\mathbf{x}}(t) + \mathbf{K}\mathbf{x}(t) &= \mathbf{B}\mathbf{u}(t) \\ \mathbf{y}(t) &= \mathbf{C}\mathbf{x}(t) \end{aligned}$$

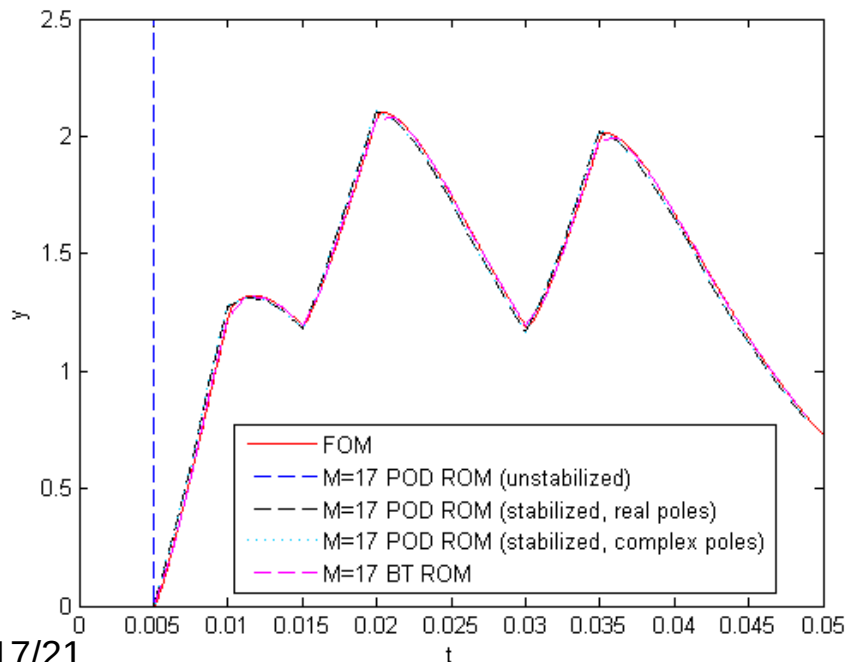
- Matrices $\mathbf{M}$, $\mathbf{E}$, $\mathbf{K}$, $\mathbf{B}$, $\mathbf{C}$ specifying the problem downloaded from the Oberwolfach model reduction repository.
- 2nd order linear system re-written as 1st order LTI system for purpose of analysis/model reduction.



- FOM is stable.

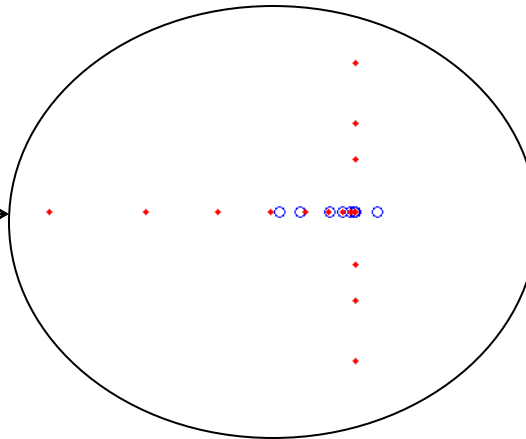
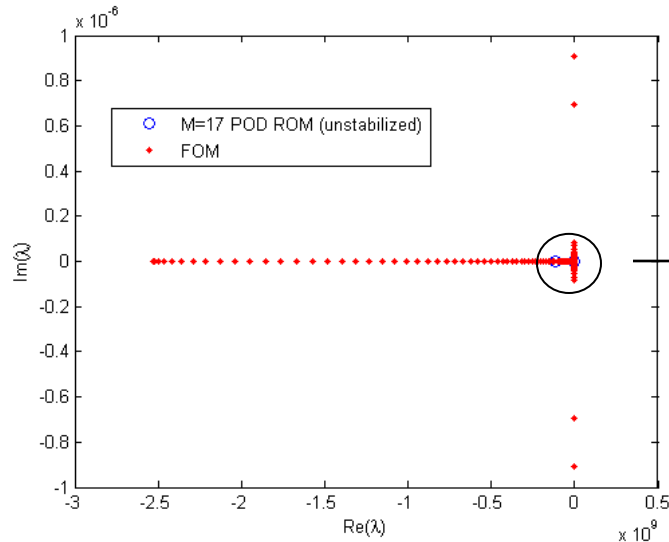
Numerical Results: Electrostatically Actuated Beam Benchmark Stabilized via Algorithm #2 (continued)

- $M = 17$ POD/Galerkin ROM constructed from $K = 1000$ snapshots up to time $t = 0.05$.
- $M = 17$ POD/Galerkin ROM has 4 unstable eigenvalues (all real).
 - Two options for ROM stabilization optimization problem:
 - Option 1:** Solve for $\lambda_1, \lambda_2, \lambda_3, \lambda_4 \in \mathbb{R}$ s.t. the constraint $\lambda_1, \lambda_2, \lambda_3, \lambda_4 < 0$.
 - Option 2:** Solve for $\lambda_1 + \lambda_2 i, \lambda_1 - \lambda_2 i, \lambda_3 + \lambda_4 i, \lambda_3 - \lambda_4 i$ s.t. the constraint $\lambda_1, \lambda_3 < 0$.
- Initial guess for fmincon interior point method: $\lambda_1 = \lambda_2 = \lambda_3 = \lambda = -1$.



ROM	$\frac{\sqrt{\sum_{k=1}^K \ \mathbf{y}^k - \mathbf{y}_M^k\ _2^2}}{\sqrt{\sum_{k=1}^K \ \mathbf{y}_k\ _2^2}}$
Unstabilized POD	NaN
Optimization Stabilized POD (Real Poles)	0.0194
Optimization Stabilized POD (Complex-Conjugate Poles)	0.0205
Balanced Truncation	$1.370e - 6$

Numerical Results: Electrostatically Actuated Beam Benchmark Stabilized via Algorithm #2 (continued: stabilized poles)



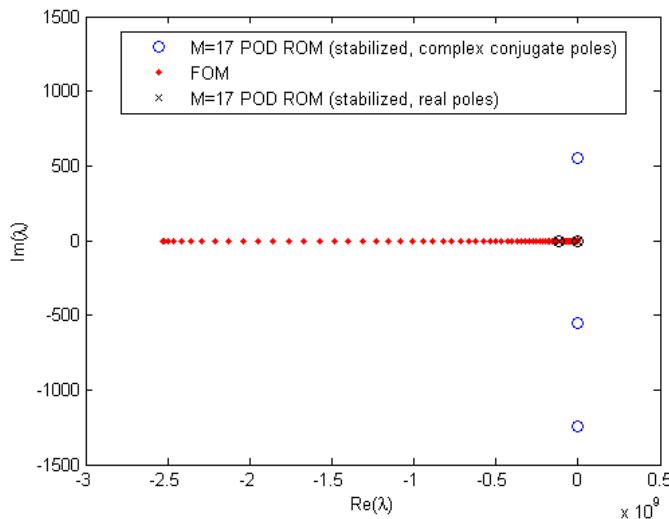
Unstable
Eigenvalues

$$\lambda_6 = 16,053$$

$$\lambda_{12} = 48.985$$

$$\lambda_{14} = 12.650$$

$$\lambda_{17} = 0.05202$$



Stabilized Eigenvalues
(Real)

$$\lambda_6 = -7,043,505$$

$$\lambda_{12} = -35.364$$

$$\lambda_{14} = -153,033$$

$$\lambda_{17} = -99,175$$

Stabilized Eigenvalues
(Complex Conjugates)

$$\lambda_6 = -106,976 + 551.77i$$

$$\lambda_{12} = -106,976 - 551.77$$

$$\lambda_{14} = -2954.1 - 1244.7i$$

$$\lambda_{17} = -2954.1 + 1244.7i$$



Summary & Future Work

- A **new** ROM stabilization approach that modifies *a posteriori* an unstable ROM LTI system by changing the system's unstable eigenvalues is proposed.
- It is demonstrated how an unstable ROM's eigenvalues may be placed using ideas from control theory, i.e., pole placement assuming a linear control (Algorithm #1).
- It is unclear how stabilization via Algorithm #1 will affect the accuracy of the ROM.
- To address this, a constrained nonlinear least squares optimization problem for the ROM eigenvalues is formulated (Algorithm #2).
- It is shown that Algorithm #2 is equivalent to Algorithm #1 for a specific linear control.
- Performance of the proposed algorithms is evaluated on two benchmarks.

Ongoing/Future work

- Journal article on the ideas presented in this talk is in preparation.
- Performance/robustness studies of algorithms for solving ROM optimization problem (e.g., sensitivity to initial guess, etc.).
- Studies of predictive capabilities of stabilized ROMs.
- Extensions to nonlinear problems.



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Thank You! Questions?

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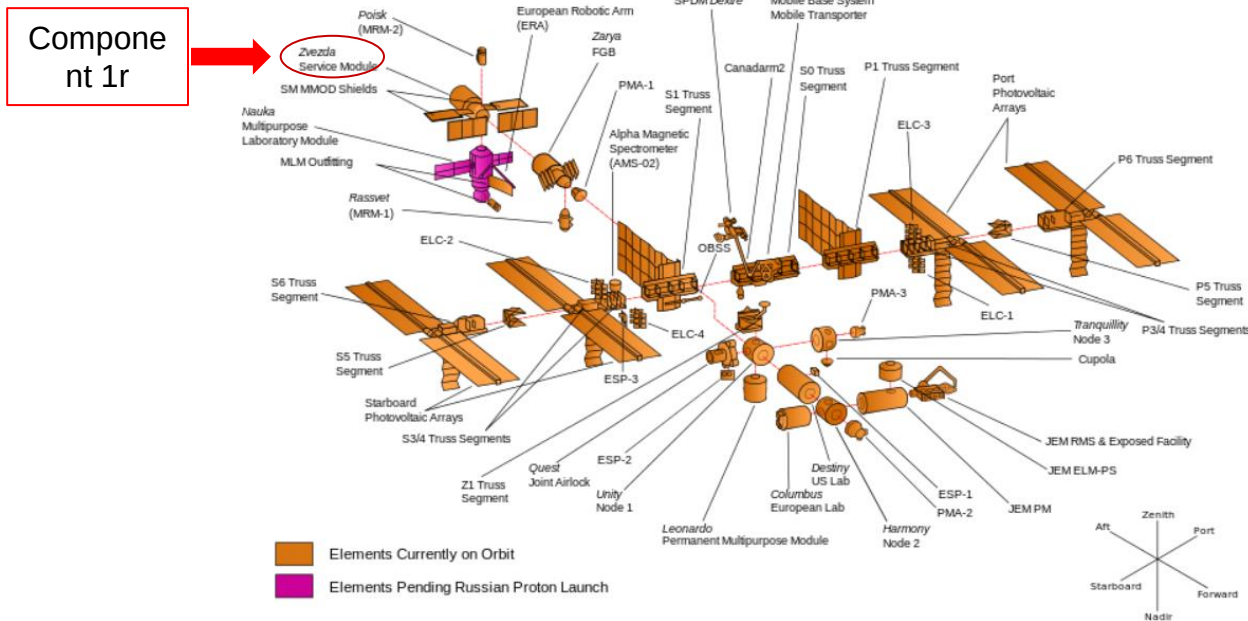
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- NICONET model reduction benchmark repository: www.icm.tu-bs.de/NICONET/benchmodred.html.
- Oberwolfach model reduction benchmark repository: <http://simulation.uni-freiburg.de/downloads/benchmark>

Appendix: ISS Benchmark Details

ISS Configuration

As of May 2011 (ULP6 - STS-134)



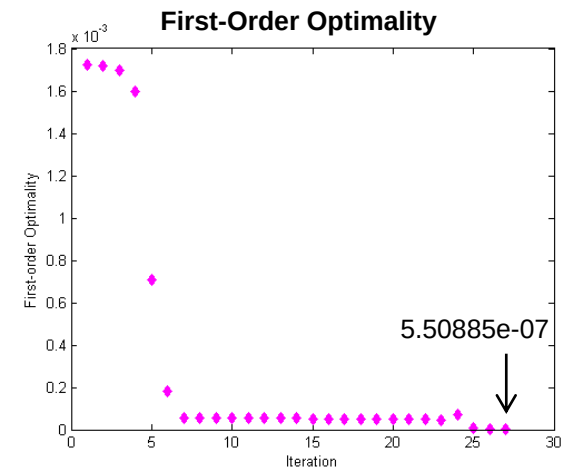
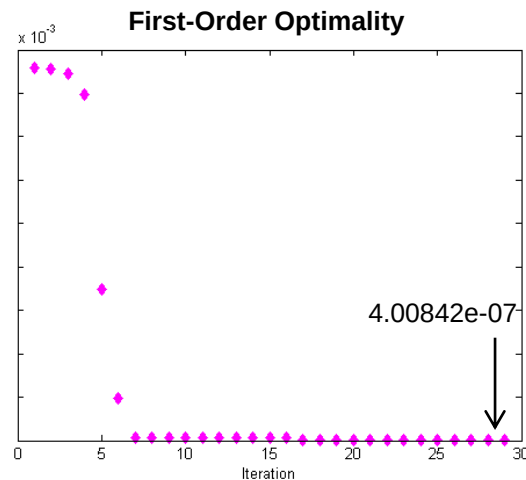
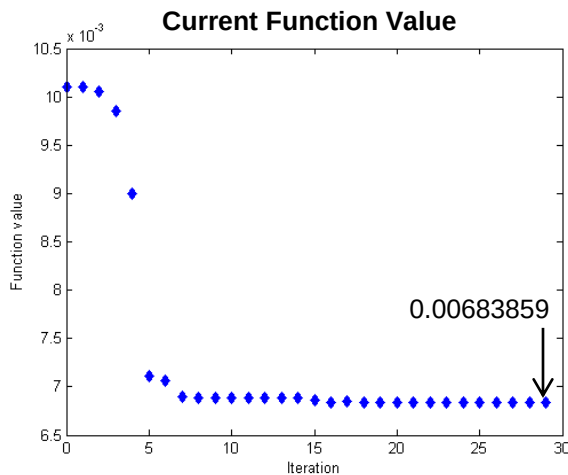
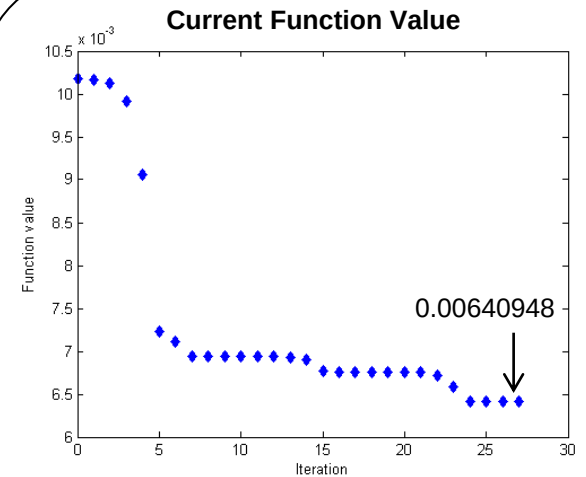
- Original benchmark: $N = 270$, 3 inputs, 3 outputs
- Physics: 2nd order semi-discrete system for flexible structure

$$\mathbf{M}\ddot{\mathbf{x}}(t) + \mathbf{E}\dot{\mathbf{x}}(t) + \mathbf{K}\mathbf{x}(t) = \mathbf{B}\mathbf{u}(t)$$

$$\mathbf{y}(t) = \mathbf{C}\mathbf{x}(t)$$
- All 3 inputs and 3 outputs are the same.
- Inputs = outputs = velocities at all discretization points (?)

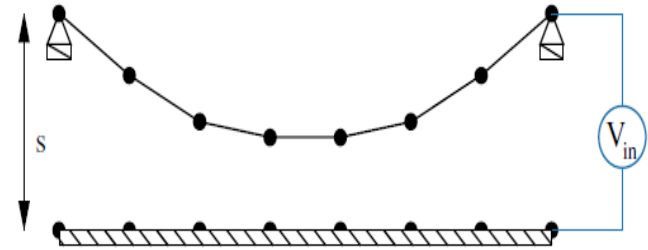
Appendix: ISS Benchmark Stabilized via Algorithm #2 (fmincon performance)

	Real Poles	Complex-Conjugate Poles
# upper bound constraints	4	3
# iterations	29	27
# function evaluations	150	142
max constraint violation	0	0



Appendix: 1D Beam Benchmark Details

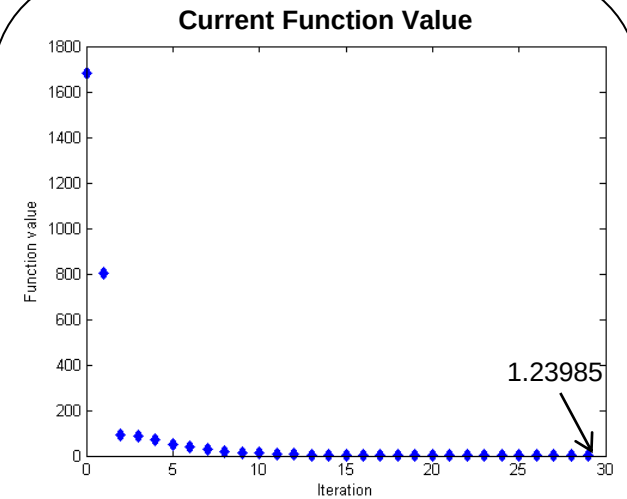
- $N = 100$, 1 input, 1 output.
- Dofs: flexural displacement and flexural rotation.
- Beam is supported on both sides (simply supported), and a node in the middle is loaded.
- Damping matrix is linear combination of mass and stiffness matrices:
$$\mathbf{E} = \alpha \mathbf{K} + \beta \mathbf{M}$$
- $\mathbf{B}$ (input) is an $n \times 1$ matrix with the only nonzero entry at the flexural dof of the middle node.
- $\mathbf{C}$ (output) is a $1 \times n$ matrix with the only nonzero entry at the flexural dof of the middle node.
- A typical input to this system is a step response; periodic on/off switching is also possible.



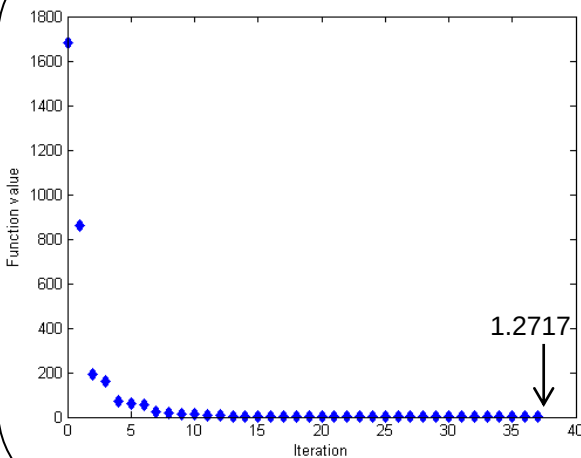
Appendix: Electrostatically Actuated Beam Benchmark Stabilized via Algorithm #2

fmincon performance)

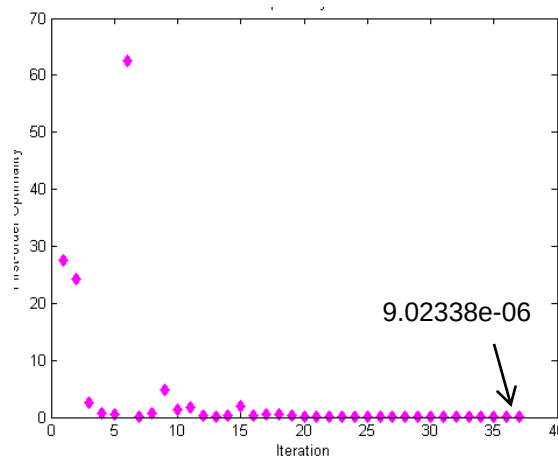
	Real Poles	Complex-Conjugate Poles
# upper bound constraints	4	2
# iterations	37	29
# function evaluations	190	150
max constraint violation	0	0



Current Function Value



First-Order Optimality



First-Order Optimality

