

# Dynamic, Multi-Product Population Health Modeling for Tobacco Policy Analysis

Eric Vugrin, Stephen Verzi, and Nancy  
Brodsky

Sandia National Laboratories

Modeling and Statistical Methods for the Regulatory Assessment of Tobacco Products:  
A Public Workshop

Center for Tobacco Products,  
Rockville MD  
December 5-6, 2013

# A Changing Landscape

CASOS  
ENGINEERING



electronic cigarettes



traditional cigarettes



dissolvable tobacco



ban of flavored cigarettes



**WARNING: Cigarettes  
cause fatal lung disease.**

graphic warning labels

New products, changing demographics, and policies necessitate new population health models

# Modeling Needs

- Multiple products
- Expand possible transition behaviors: switching, poly-use, etc.
- Flexibility:
  - Different products
  - Different risk interactions
  - Single or multi-cohort
- Dynamics
  - Impacts may take time to be realized
  - Conclusions may vary, depending on analysis time period

# A Conceptual Model

- Population consists of individuals that vary by state attributes
  - Age
  - Sex
  - Tobacco product use: never/current/former user status for each product considered
- Markov model of state transition and death
  - Tobacco use transition and death are stochastic processes
  - Probability of transition depends only upon current state
- Population size varies due to births, deaths, and migration
- Some basic assumptions
  - State can change once per year
  - Current and former users cannot transition to never

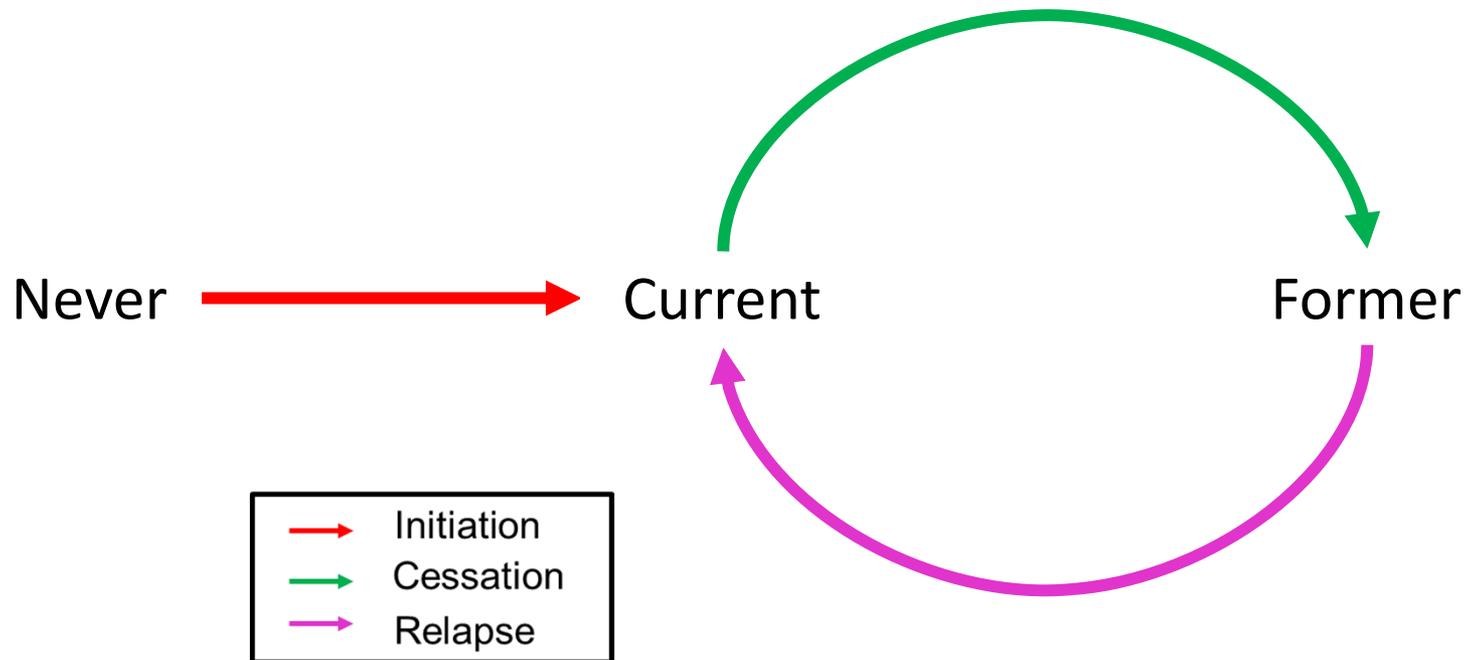
# Input Parameter Groups

- Initial population
  - State distribution at time 0
- Transition probabilities
  - Initiation, cessation, switching, and relapse
  - Depend upon state
  - Need to think about transition in terms of multiple products
- Relative risks ( $RR$ ) vary by state
  - All cause or cause-specific

$$RR(\text{age} = a_1, \text{sex} = g_1, \text{tobacco use} = u_1)$$

$$= \frac{\text{prob}(\text{dying} | \text{age} = a_1, \text{sex} = g_1, \text{tobacco use} = u_1)}{\text{prob}(\text{dying} | \text{age} = a_1, \text{sex} = g_1, \text{tobacco use} = \text{never for all products})}$$

# Tobacco Use Transitions: 1 Product

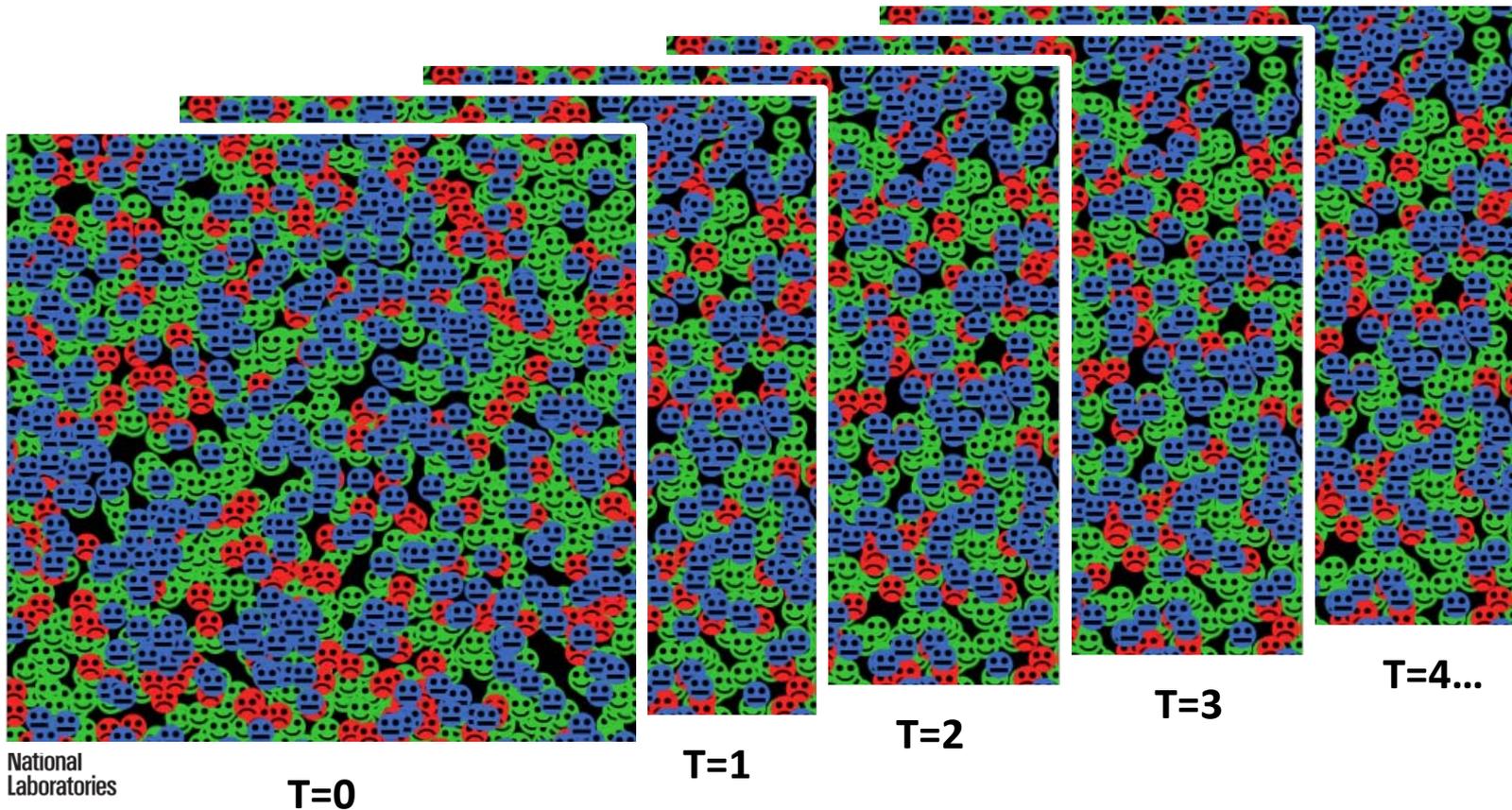


3 tobacco use statuses & 3 transitions



# Numerical Implementations: 2 Options

- Standard Markov formulation
  - Explicit representation of stochastic events
  - “Agent-based”/microsimulation formulation



# Numerical Implementations: 2 Options

- Dynamical systems: probabilities represent mean rate of transition

Average # of people that transition & don't die

$$pop_{s_j}(t_{k+1}) = \underbrace{\sum_i prob_{s_i \rightarrow s_j}(t_k) \left[ 1 - prob(dying | s_j) \right] pop_{s_i}(t_k)}_{\text{Average \# of people that transition \& don't die}} + m_{s_j}(t_{k+1}) + b_{s_j}(t_{k+1})$$

↑                      ↑  
Immigration          Births

# Numerical Implementations: 2 Options

- Dynamical systems: probabilities represent mean rate of transition

Immigration Vector



$$Pop(t_{k+1}) = A(t_k) Pop(t_k) + M(t_{k+1}) + B(t_{k+1})$$



State Transition Matrix



Birth Vector

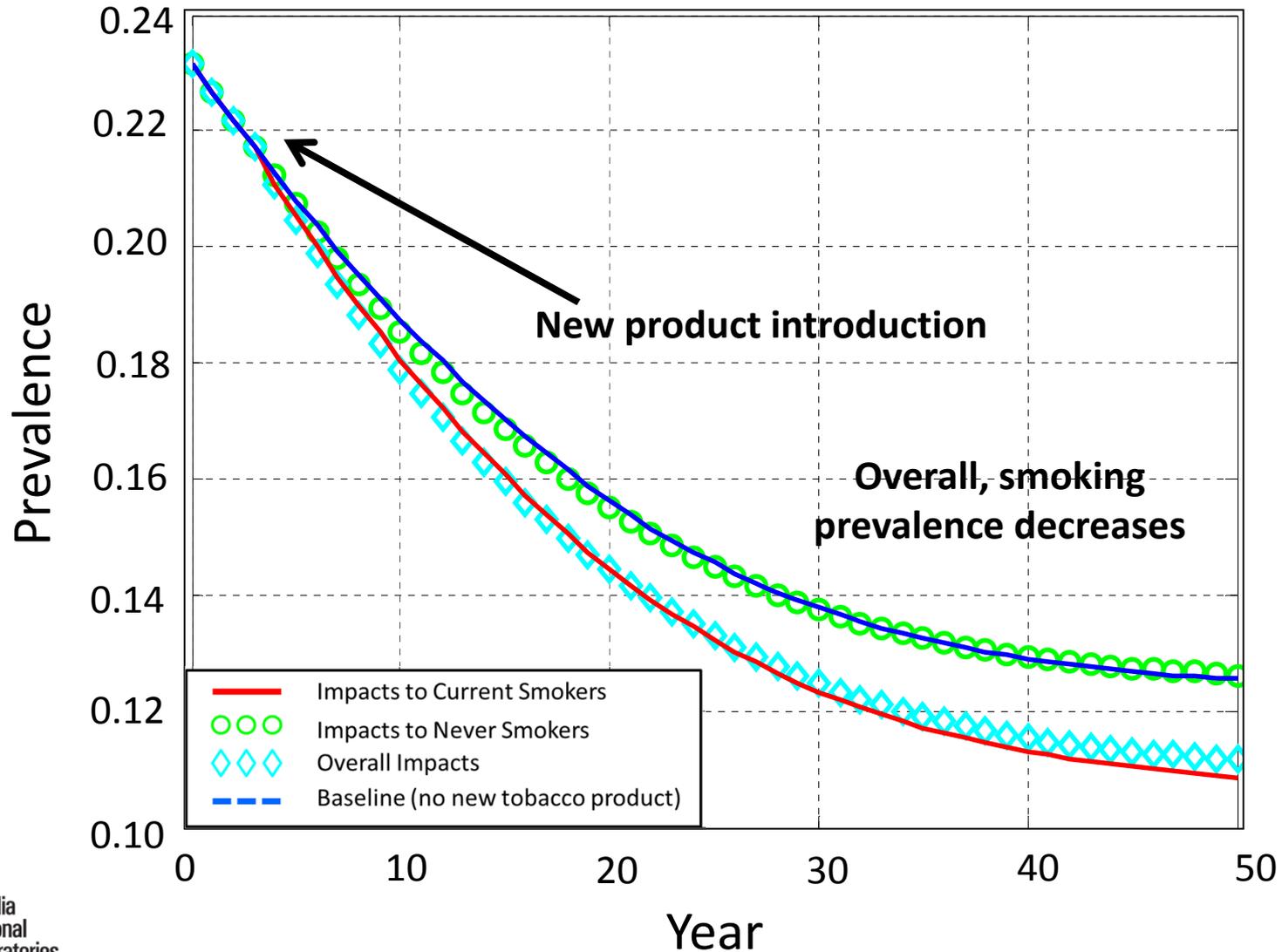
# An Illustrative Analysis

- Initial population designed to represent U.S. 2000 demographics (age, sex, cigarette usage)
- Year 3: introduction of a lower risk, hypothetical new tobacco product
  - Excess relative risk (ERR)=0.25 x cigarette ERR)
- Switching and poly-use
  - Switching (0.03-0.05 annual proportion of current smokers)
  - Poly-use transition (smoking to smoking + new product; 0.005 annually)
- Alternative product initiation
  - Non-smokers may take up alternative (0.25x smoking initiation rate)
  - Alternative users may switch to cigarettes or become poly-users (0.05 annual rate)

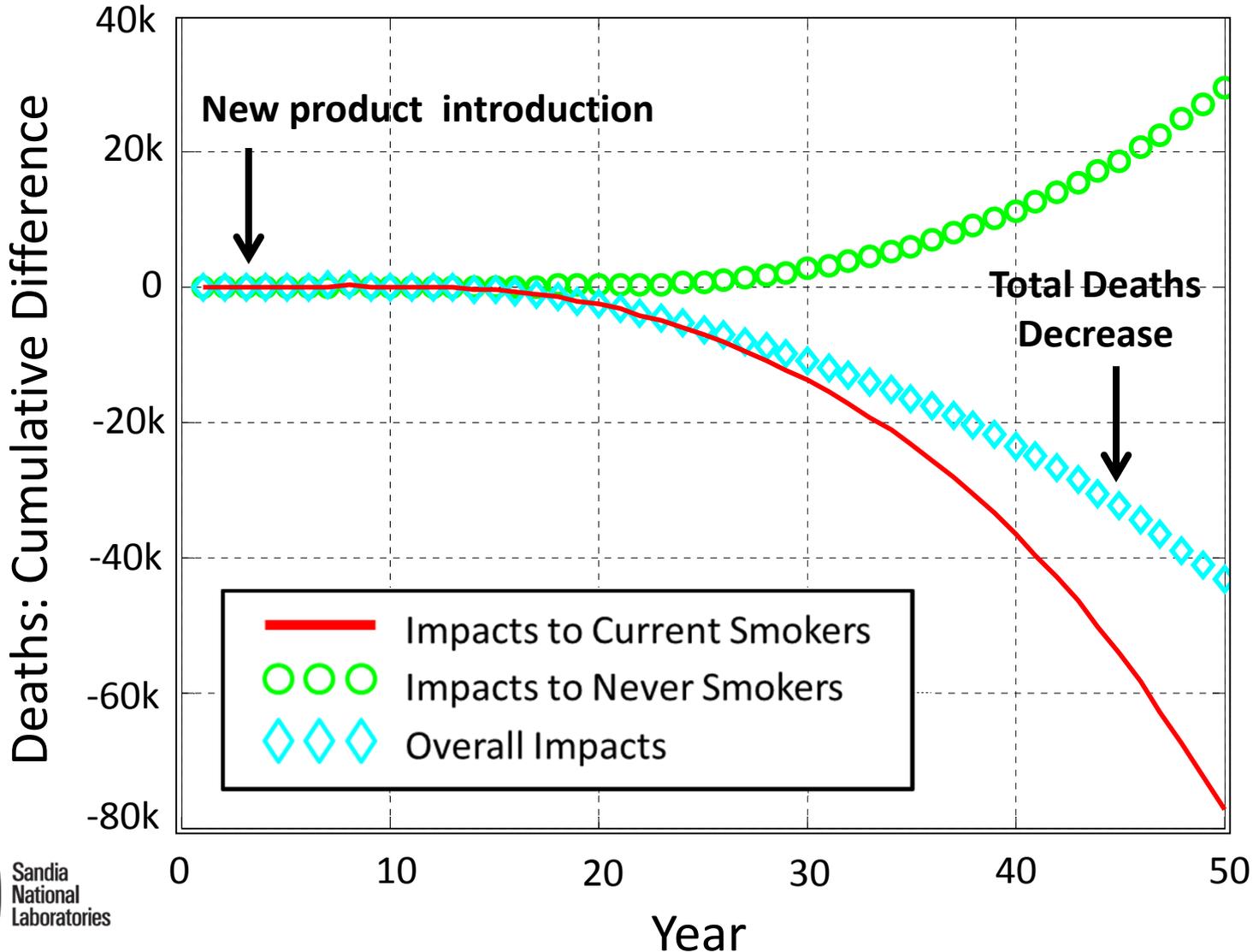
# Four Scenarios

- **Baseline** —
  - No new tobacco product
- **Impacts to current smokers** —
  - Allow current smokers to switch or become poly-users
  - No new product initiation among never smokers
- **Impacts to never smokers** ○○○
  - Never smokers may initiate and switch to smoking or become poly-users
  - No switching or poly-use from current smokers
- **Overall Impact** ◇◇◇
  - All impacts included

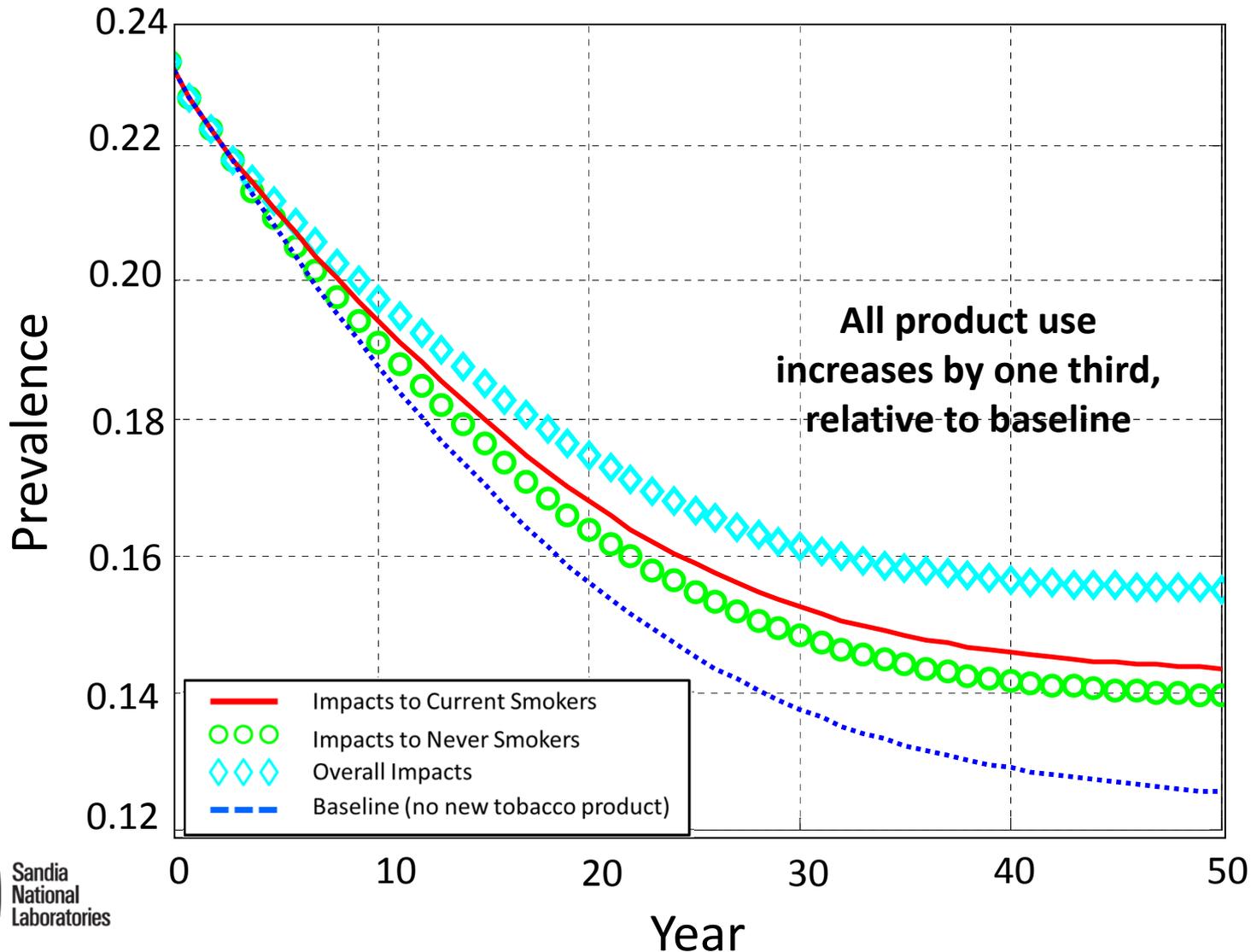
# Adult Smoking Prevalence



# Change in Deaths, ages 35-84 (relative to baseline)



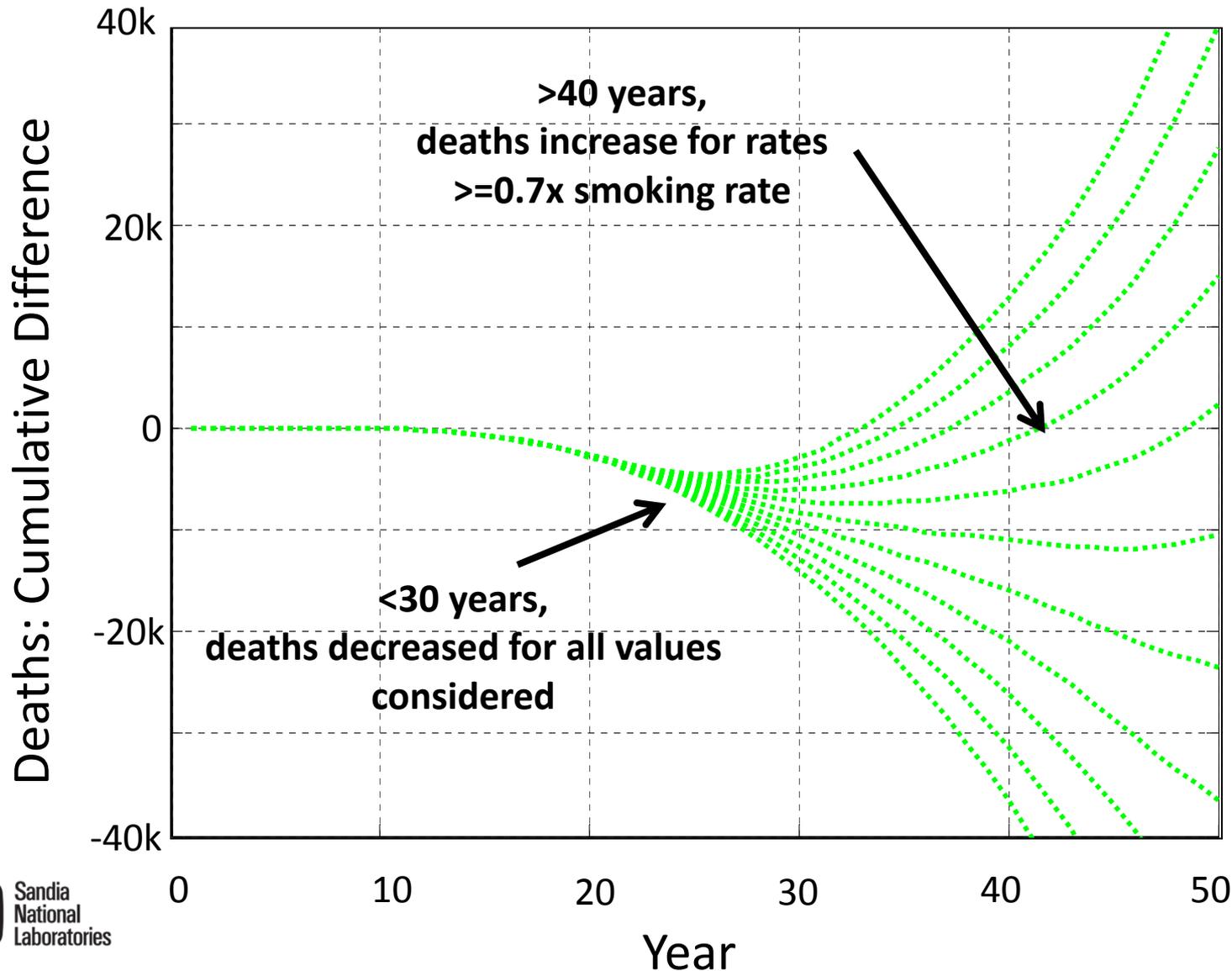
# Adult Prevalence: All Product Use



# Uncertainty

- We do not really know exact parameters for future products
  - Previous results have not accounted for parameter uncertainty
- Including uncertainty
  - Single parameter sweep
  - Multiple variables (Latin hypercube design)

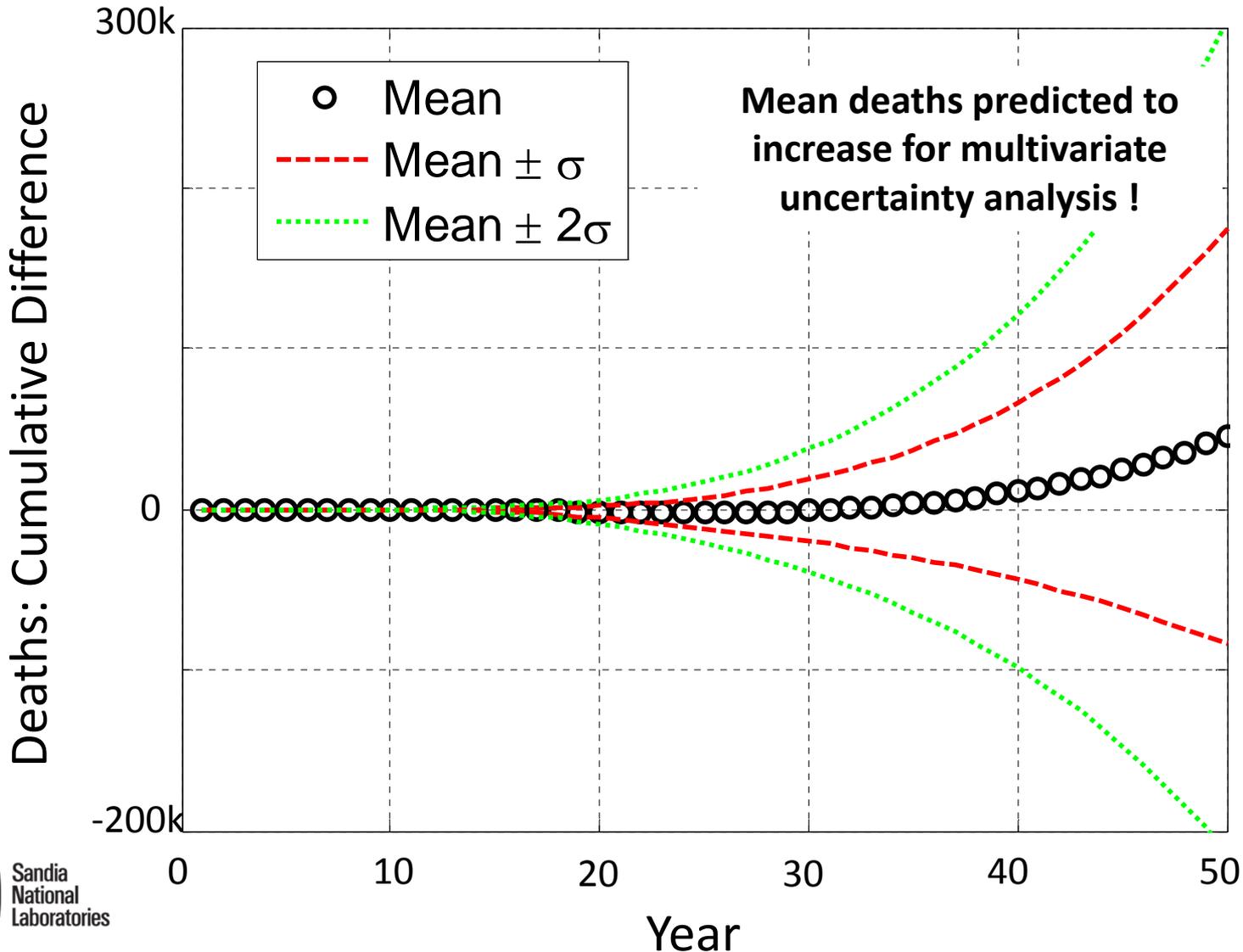
# Change in Deaths, ages 35-84 (relative to baseline): New Product initiation rate= [0,1] x cigarette initiation



# Uncertain Parameters for Monte Carlo Simulation

Parameter Description	Base Value	Distribution Range*	Variable	Implementation in Model**
New Product Excess Relative Risk (ERR) Factor	0.25	[0.01,0.50]	$\alpha$	Product 2 ERR = $\alpha$ *ERR for cigarettes
Rate of switching from cigarettes to new product	0.03	[0.01,0.05]	$\beta$	$g_{rate}(3) = \beta + 0.02$ $g_{rate}(4^+) = \beta$
Smoker to dual user transition rate	0.005	[0.001,0.1]	$\varphi$	$j_{rate}(3^+) = \varphi$
Fraction of switchers coming from “would-be” quitters	0.5	[0,1]	$\chi$	$f_{rate}(3) = f_{rate}(0) - \chi \times (\beta + 0.02)$ $f_{rate}(4^+) = f_{rate}(0) - \chi \times \beta$
New product initiation rate scaling factor	0.25	[0,0.75]	$\delta$	$a_{rate}(3^+) = (1 - \gamma) \times \delta \times b_{rate}(0)$ $e_{rate}(3^+) = \gamma \times \delta \times b_{rate}(0)$
Fraction of new product initiates that are dual users	0	[0,0.75]	$\gamma$	
Fraction of new product initiates that would otherwise initiate cigarettes	0.5	[0,1]	$\varepsilon$	$b_{rate}(3^+) = (1 - \varepsilon \times \delta) \times b_{rate}(0)$
Rate of switching from new product to cigarettes (gateway effect)	0.05	[0,0.1]	$\phi$	$c_{rate}(3^+) = \phi$
New product user to dual user transition rate	0.05	[0,0.1]	$\eta$	$i_{rate}(3^+) = \eta$
*All distributions are assumed to be uniform.				
**The notation $x_{rate}(y)$ is used to denote the rate for transition $x$ (from Figure 1) in year $y$ .				

# Change in Deaths, ages 35-84 (relative to baseline): Multivariate Monte Carlo with LHS Design



# Summary and Next Steps

- Multi-product models are needed to assess policy in a changing marketplace
- Previous cigarette-centric models provide a nice “springboard” for multi-product model development
- The approach presented provides a flexible framework for multi-product analysis
- Future challenges
  - Need a “multi-product mindset”
  - Data challenges